

032604

11324 U.S. PTO

**NEW UTILITY PATENT APPLICATION TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(b)

**DOCKET NO:**

SAR100064000

Total Pages in this Submission:

38

22386 U.S. PTO  
10/811464

032604

**TO THE COMMISSIONER FOR PATENTS**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

MORTISE LOCK INTEGRATED TRIM ASSEMBLY WITH A RETRACTING SPINDLE

and invented by:

Richard Hai Huang

**If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:**

- ☐ Continuation
- ☐ Divisional
- ☐ Continuation-in Part (CIP)

of prior application serial no: \_\_\_\_\_ Filed: \_\_\_\_\_

**Enclosed are:**

**APPLICATION ELEMENTS**

1. ☒ Filing fee as calculated and transmitted as described below.
2. ☒ Specification having 18 total pages, including 4 pages of claims and 1 page Abstract
3. ☒ Drawing(s) including 5 sheets (when necessary as prescribed by 37 USC 113)
  - a. ☒ Formal
  - b. ☐ Informal
4. ☒ Oath or Declaration.
  - a. ☒ Newly executed (original or copy) ☐ Unexecuted.
  - b. ☐ [Note Box 5 Below] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional applications only)

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**☐ DELETION OF INVENTORS**

Signed statement in Box 22 below, forming part of this transmittal, deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).

- c. ☒ With Power of Attorney      Without Power of Attorney

**APPLICATION ELEMENTS (Continued)**

5. ☐ Incorporation By Reference (*This box shall be considered checked whenever Box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche. (*Appendix*)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission. (*if applicable, all must be included*)
- a. ☐ Paper Copy.
- b. ☐ Computer Readable Copy. (*Identical to computer copy*)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy.

**Accompanying Application Parts**

8. ☒ Assignment Papers.
- ☐ The prior application is assigned of record to \_\_\_\_\_.
- ☒ An assignment of the invention to Sargent Manufacturing Company is attached. (cover sheet &  
documents(s))
9. ☐ 37 CFR 3.73(b) Statement. (*when there is an assignee*)
10. ☐ English Translation Document. (*if applicable*)
11. ☒ Information disclosure Statement/PTO-1449.      ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment.
13. ☒ Acknowledgment postcard.
14. ☐ Small Entity Statement      ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s). (*if foreign priority is claimed*)
16. ☒ Certificate of Mailing:
- ☐ First Class      ☒ Express Mail (*Specify Label No*) EV403085258US

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1.53(b))

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17. ☒ Form EFS for Filing
18. ☒ Non Publication Request Under 35 U.S.C. §122(b)(2)(B)(i)
19. ☐ Additional Enclosures. (please identify below):

**Priority**

20. ☐ Priority - 35 USC 119
- ☐ Priority of application serial no. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 USC 119.
- ☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_ on \_\_\_\_\_.
- ☐ The certified copy will follow.
21. ☐ Priority - 35 USC 120
- ☐ Amend the specification by inserting before the first line the following sentence:
- "This is a
- ☐ continuation
- ☐ divisional
- of copending application(s)
- ☐ Serial Number \_\_\_\_\_ filed on \_\_\_\_\_."
- ☐ International Application No. \_\_\_\_\_ and which designated the U.S."

**Inventorship Statement**

22. ☐ Inventorship
- With respect to the prior copending U.S. application from which this application claims benefit under 35 USC 120, the inventor(s) in this application is (are)
- ☐ the same.
- ☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted:
- \_\_\_\_\_

<b>NEW UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	<b>DOCKET NO:</b> SAR100064000 <hr/> <b>Total Pages in this Submission:</b> 38
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**Fee Calculation and Transmittal  
CLAIMS AS FILED**

FOR	NO. FILED	NO. ALLOWED	NO. EXTRA	RATE	FEE
Total Claims	20	— 20 =	0	x \$18.00	\$ 0
Indep. Claims	2	— 03 =	0	x \$86.00	\$ 0
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$
<b>BASIC FILING FEE</b>					<b>\$ 770.00</b>
Other Fees (specify purpose)					\$
<b>FILING FEE CALCULATION</b>					<b>\$ 770.00</b>
SMALL ENTITY x 50% (when Box 14 is checked)					\$

- ☒ A check in the amount of \$770.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-0566 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \$ \_\_\_\_\_ as filing fee.
- ☒ Charge or credit any under or overpayment.
- ☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

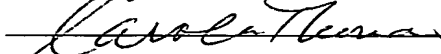
Dated: March 26, 2004

  
 \_\_\_\_\_  
 Anthony P. DeLio  
 Reg. No. 18,729

**DELIO & PETERSON, LLC**  
 121 Whitney Avenue  
 New Haven, CT 06510  
 (203) 787-0595

**CERTIFICATION OF MAILING UNDER 37 CFR 1.10**

"Express Mail" mailing label number EV403085258US Date of Deposit: March 26, 2004 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop PATENT APPLICATION, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Carol M. Thomas Signature:   
 Sar100064000feesheet

DOCKET: SAR100064000

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR: Richard Hai Huang

TITLE: MORTISE LOCK INTEGRATED TRIM  
ASSEMBLY WITH A RETRACTING  
SPINDLE

DATE: March 26, 2004

**NONPUBLICATION REQUEST UNDER 35 U.S.C. § 122(b)(2)(B)(i)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,

Dated: March 26, 2004

  
Anthony P. DeLio  
Reg. No. 18,729

DeLIO & PETERSON, LLC  
121 Whitney Avenue  
New Haven, CT 06510-1241  
(203)787-0595

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Name: Carol M. Thomas Signature: 

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